

# H&H CONTRIBUTORY MORTGAGE FUND

## APPLICATION FORM

H&H Capital Mortgage Fund Pty Ltd

ABN 93 650 774 066 AFS licence 537992

## Important information

This Application Form accompanies and forms part of the information memorandum dated 14 February 2023 (**IM**) issued by H&H Capital Mortgage Fund Pty Ltd ABN 93 650 774 066 ("**H&H CMF**" or "**Trustee**") in its capacity as trustee for the H&H Contributory Mortgage Fund ("**Fund**"). H&H CMF holds Australian financial services licence number 537992.

Capitalised terms used in this Application Form have the same meaning as given to them in the IM, unless separately defined. All rules of interpretation which apply to the IM apply equally to this Application Form.

## Completing this Application Form

Please complete this form using BLACK INK and write clearly within the boxes in CAPITAL LETTERS. Mark appropriate answer boxes with a cross (X).

Please work through the checklist below to ensure that you have completed all relevant sections. If this Application Form is not completed correctly, then the processing of your application may be delayed.

- Section 1—Investment details.** Required to be completed by all applicants.  
*Go to page 4.*
- Section 2—Applicant details.** Required to be completed by all applicants.  
*Go to page 5.*
- Section 3—Contact details.** Required to be completed by all applicants.  
*Go to page 6.*
- Section 4—Adviser details.** Optional, and only required to be completed if you would like to register a financial adviser.  
*Go to page 6.*
- Section 5—Withdrawal proceeds and distribution payments.** Required to be completed by all applicants.  
*Go to page 7.*
- Section 6—Payment details.** Required to be completed by all applicants.  
*Go to page 7.*
- Section 7—Declaration.** Required to be completed by all applicants.  
*Go to page 8.*
- Accountant's Certificate.** Optional, and only required to be completed if your initial investment in the Fund is less than \$500,000, and you do not otherwise satisfy us you are a Wholesale Client. Alternatively, please provide an Accountant's Certificate already certified by a qualified accountant within the past 24 months.  
*Go to page 9.*

## Further information and documentation

Once we have received your Application Form, we will contact you and request you provide us with the information and documentation we require to comply with our obligations under the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) and its associated rules and regulations, the *Foreign Account Tax Compliance Act* (FATCA), and the Common Reporting Standard (CRS). Please refer to Section 7 of the IM for additional information on our obligations in this regard.

If all information or documentation requested by us to comply with these obligations is not provided promptly, then the processing of your application may be delayed. We reserve the right to reject any application where such information or documentation is not provided to us, or if we believe on reasonable grounds that processing the application may breach any law in Australia or any other country. We will incur no liability to you if we do so.

## Submitting this Application Form

Once the above checklist has been completed, please submit this Application Form to any one of the following addresses:

**Email:** info@hhfs.com.au

**Address:** 1&2, 50 Murray Street, Sydney NSW 2000

**Post:** PO Box 617, Pyrmont NSW 2009

If you indicated you are paying your investment amount by **cheque**, then please enclose your cheque with the Application Form.

## Contact us

If you have any questions, then please contact us:

**Telephone:** +61 2 8019 9999

**Email:** info@hhfs.com.au

**Address:** 1&2, 50 Murray Street, Sydney NSW 2000

**Post:** PO Box 617, Pyrmont NSW 2009

**Website:** [hhfinancialservices.com.au](http://hhfinancialservices.com.au)

**1. INVESTMENT AMOUNT**

Please specify the total amount you wish to invest in the Fund.

\$ \_\_\_\_\_ .00

The minimum initial investment in the Trust is \$100,000, with further increments in \$10,000 multiples thereafter. The Trustee reserves the right to accept lower amounts at its absolute discretion. If the investment amount is less than \$500,000, then you are required to have the **Accountant’s Certificate**, provided on page 9 of this Application Form, completed and provided with your application, or otherwise satisfy us you are a Wholesale Client. Alternatively, please provide an Accountant’s Certificate already certified by a qualified accountant within the past 24 months.

**2. APPLICANT TYPE**

Please specify which investor type this application is for.

Trust – including family trusts, unit trusts, SMSFs.

Please specify the type of trustee:

- Individual(s)
- Australian company or companies
- Other (provide details below)

- Individual(s) – including joint applications and sole traders
- Australian company – including public and private companies (Pty Ltd)
- Other – such as a foreign company or partnership (provide details below)

If you selected ‘Other’ for any of the above options, then please provide details.

\_\_\_\_\_

**3. APPLICANT DETAILS**

**A. Individual Investor, Joint Investor 1, Company Director 1, Executor 1, or Trustee 1**

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Title                      Given name(s)  
\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Surname    Date of birth

**B. Joint Investor 2, Company Director 2, Executor 2, or Trustee 2**

\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Title                      Given name(s)  
\_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_  
Surname    Date of birth

**C. Tax File Number(s)** (individual and joint investors only)

You do not have to provide us with your Tax File Number (TFN). However, if you do not provide your TFN, then we may be required to deduct tax from any income paid to you at the top marginal tax rate. You may be eligible for an exemption to provide your TFN, in which case you will need to state the reason in the Application Form in the space provided.

\_\_\_\_\_

Person A

Person B

If any of the investors above are exempt from providing a TFN, please provide the reason for the exemption

\_\_\_\_\_

**D. Investing Company or Trustee Company (if applicable)**

Please fill in this section if you are investing on behalf of a company, whether in the company's capacity as a trustee or otherwise

\_\_\_\_\_

Full company name

\_\_\_\_\_

ABN (or ACN)

\_\_\_\_\_

TFN

**E. Account designator (if applicable)**

Investors may designate an investment as being made on behalf of another, such as a superannuation fund, trust, deceased estate or other entity or person.

ATF:

\_\_\_\_\_

\_\_\_\_\_

ABN

\_\_\_\_\_

TFN

If exempt from providing a TFN and/or ABN, please provide the reason for the exemption

\_\_\_\_\_

**4. CONTACT DETAILS**

Please enter contact details in case we need to contact you in relation to your application. Adviser details are not acceptable unless your adviser holds a power of attorney, a copy of which must be provided. These contact details will be used for all administration correspondence.

\_\_\_\_\_

Postal address

\_\_\_\_\_

State

\_\_\_\_\_

Postcode

( \_\_\_\_\_ )

Phone (H)

\_\_\_\_\_

( \_\_\_\_\_ )

Phone (W)

\_\_\_\_\_

( \_\_\_\_\_ )

Fax

\_\_\_\_\_

\_\_\_\_\_

Mobile

\_\_\_\_\_

Email

How do you wish your correspondence to be sent?

Mail (paper)

Email (electronic)

## 5. ADVISER DETAILS (Optional)

If you would like to register a financial adviser to receive information about your account, please have them sign this section to confirm they hold a current Australian financial services licence and are authorised in relation to managed investment products. If an initial advice fee is nominated, you direct us to deduct this amount from your application amount and pay this fee to your adviser. Please note the minimum initial investment amount must be satisfied after any such fee has been deducted.

\_\_\_\_\_

Adviser given name(s)

\_\_\_\_\_

Adviser surname

\_\_\_\_\_

Adviser company (if applicable)

\_\_\_\_\_

Adviser email

\_\_\_\_\_

Licensee name

\_\_\_\_\_

Licence number

Initial advice fee (if applicable):

% (including GST)

Adviser signature:

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## 6. WITHDRAWAL PROCEEDS AND DISTRIBUTION PAYMENTS

You are required to provide your Australian bank account details for payment of distributions and the proceeds of any withdrawals.

\_\_\_\_\_

Account name

\_\_\_\_\_

BSB

\_\_\_\_\_

Account number

## 7. PAYMENT DETAILS

Please cross the box beside your chosen payment method.

### Cheque

Made payable to: 'H&H Capital Mortgage Fund Pty Ltd' and crossed 'not negotiable'.

### Electronic Funds Transfer or Direct Deposit

Bank: Macquarie Bank  
Account Name: H&H Capital Mortgage Fund Pty Ltd  
BSB: 182 - 222  
Account number: 305603151

When depositing funds, please include the following reference: '[H&HCMF – YOUR NAME OR INVESTING ENTITY]'

**8. DECLARATION**

By signing and lodging this Application Form, I/we agree, confirm, or acknowledge as follows:

- I/we have read and understood the IM and this Application Form.
- I/we have received and accepted the Offer in Australia.
- I/we have been provided with the opportunity to review (free of charge) the due diligence material made available to potential investors.
- I/we consent to the Trustee obtaining and using my personal information.
- I/we have not relied on statements or representations made by anybody, other than those made in the IM.
- No cooling off period applies, and my/our application for units in the Trust is binding and irrevocable.
- To be bound by the Constitution, as amended from time to time.
- The Trustee may accept and reject applications in whole or in part in its absolute discretion.
- The Trustee may request additional information or documentation from me/us in order to comply with the *Anti-Money Laundering and Counter-Terrorism Financing Act 2006* (Cth) and its associated rules and regulations, the *Foreign Account Tax Compliance Act* (FATCA), and the Common Reporting Standard (CRS), and I/we must provide the Trustee or its agent with the information and documentation required, otherwise my/our application for Units may be refused, Units I/we hold may be compulsorily redeemed, and any disposal request by me/us may be delayed or refused and the Trustee will not be liable for any loss arising as a result thereof.
- That if a tax file number has not been provided, I/we consent to the Trustee withholding tax at the highest marginal tax rate.
- Performance of the Trust is not guaranteed, nor is the return of capital or any specific rate of return or distributions.
- The information contained in the IM does not constitute financial product advice and the Trustee has not considered whether the Units are a suitable investment for me/us, and the Trustee has not taken into account my/our investment objectives, financial situation, and particular needs.
- The monies used to fund my/our investment in the Trust are not derived from or related to any money laundering, terrorism financing or other illegal activities, whether prohibited under Australian law, international law, or convention (**Illegal Activity**) and the proceeds of my/our investment in the Trust will not be used to finance any Illegal Activities.
- I/we have had the opportunity to seek independent professional advice on subscribing for Units in the Trust.
- I/we confirm that you I/we read and understood the privacy notice contained in the IM.
- I/we are over the age of 18 years.
- If I/we have provided details of an adviser in section 4 of this Application Form, then the Trustee may supply my/our adviser with information about my/our account unless I/we instruct the Trustee not to do so.

By signing and lodging this Application Form, I/we warrant and acknowledge the following:

- All information contained in this Application Form is true and correct.
- I/we am/are a “wholesale client” for the purposes of section 761G of the Corporations Act.
- I/we hold the appropriate authorisations to invest in the Trust.

Please cross this box if you do not wish for the Trustee to keep you advised of future investment opportunities which may become available. Please note, even if this box is crossed, you will receive Loan Memorandums as and when they are issued at all times you are invested in the Trust.

If the application is signed by more than one person, then who will operate the account?

Any to sign                       All to sign together

**Signature 1 – Individual Investor, Sole Director/Secretary, Joint Investor 1, Company Officer 1, Executor 1, or Trustee 1**

<b>Name</b>		<b>Signature</b>	
<b>Position</b> (if applicable)		<b>Date</b>	/       /

**Signature 2 (if applicable) – Joint Investor 2, Company Officer 2, Executor 2, or Trustee 2**

<b>Name</b>		<b>Signature</b>	
<b>Position</b> (if applicable)		<b>Date</b>	/       /